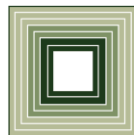


Joint Appropriations Subcommittee on
Justice and Public Safety

The seal of the Judicial Department of the State of North Carolina is a circular emblem. It features a central figure of Lady Justice, blindfolded and holding a sword in her right hand and a scale of justice in her left. The figure is surrounded by a blue field with two stars. The outer ring of the seal contains the text "JUDICIAL DEPARTMENT" at the top and "STATE OF NORTH CAROLINA" at the bottom, separated by the stars.

Administrative Office of the Courts: Recent Issues and Programs

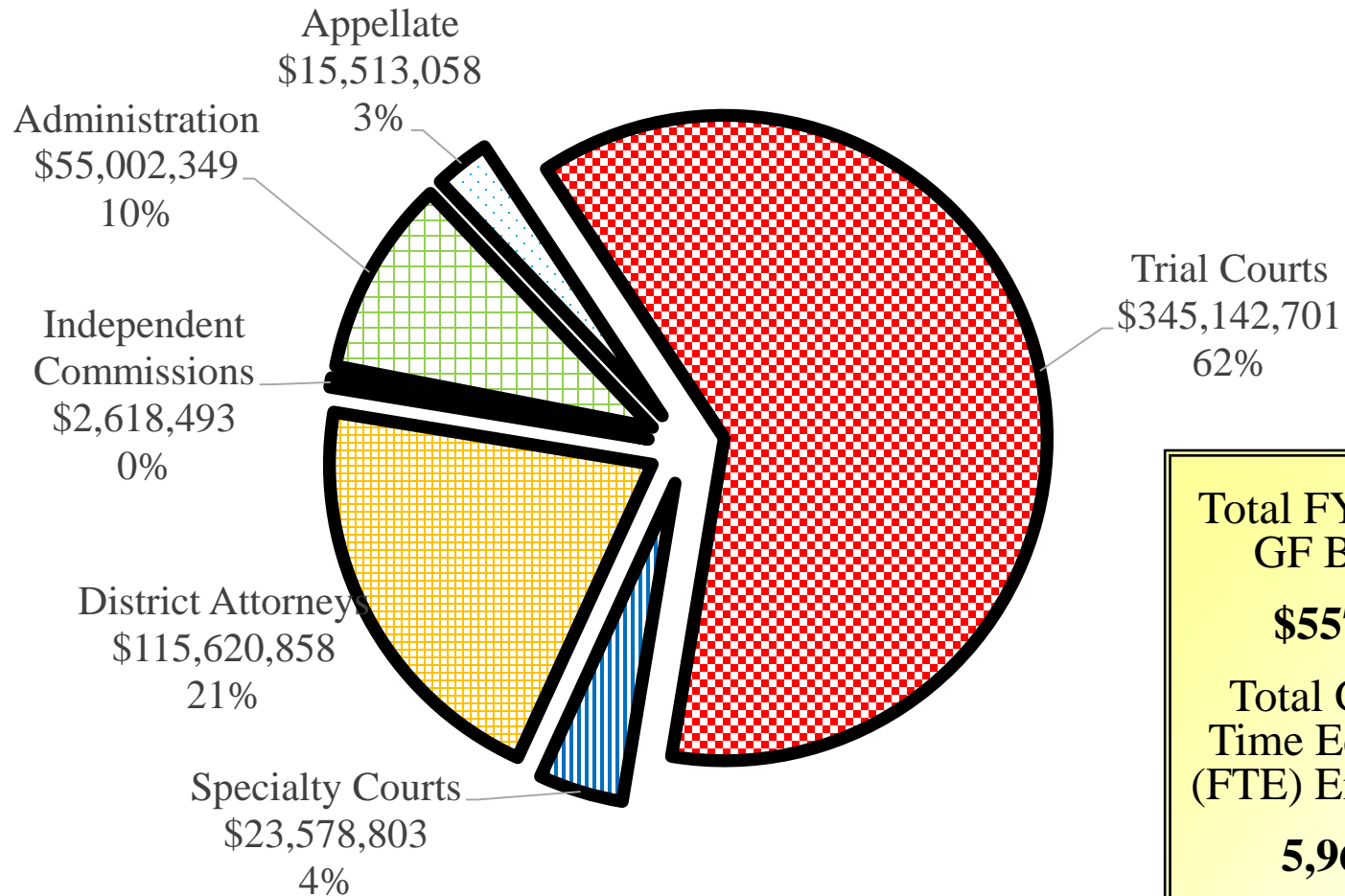
March 20, 2019



FISCAL RESEARCH DIVISION
A Staff Agency of the North Carolina General Assembly

FY 2019-20 AOC Base Budget

Total Requirements by Fund

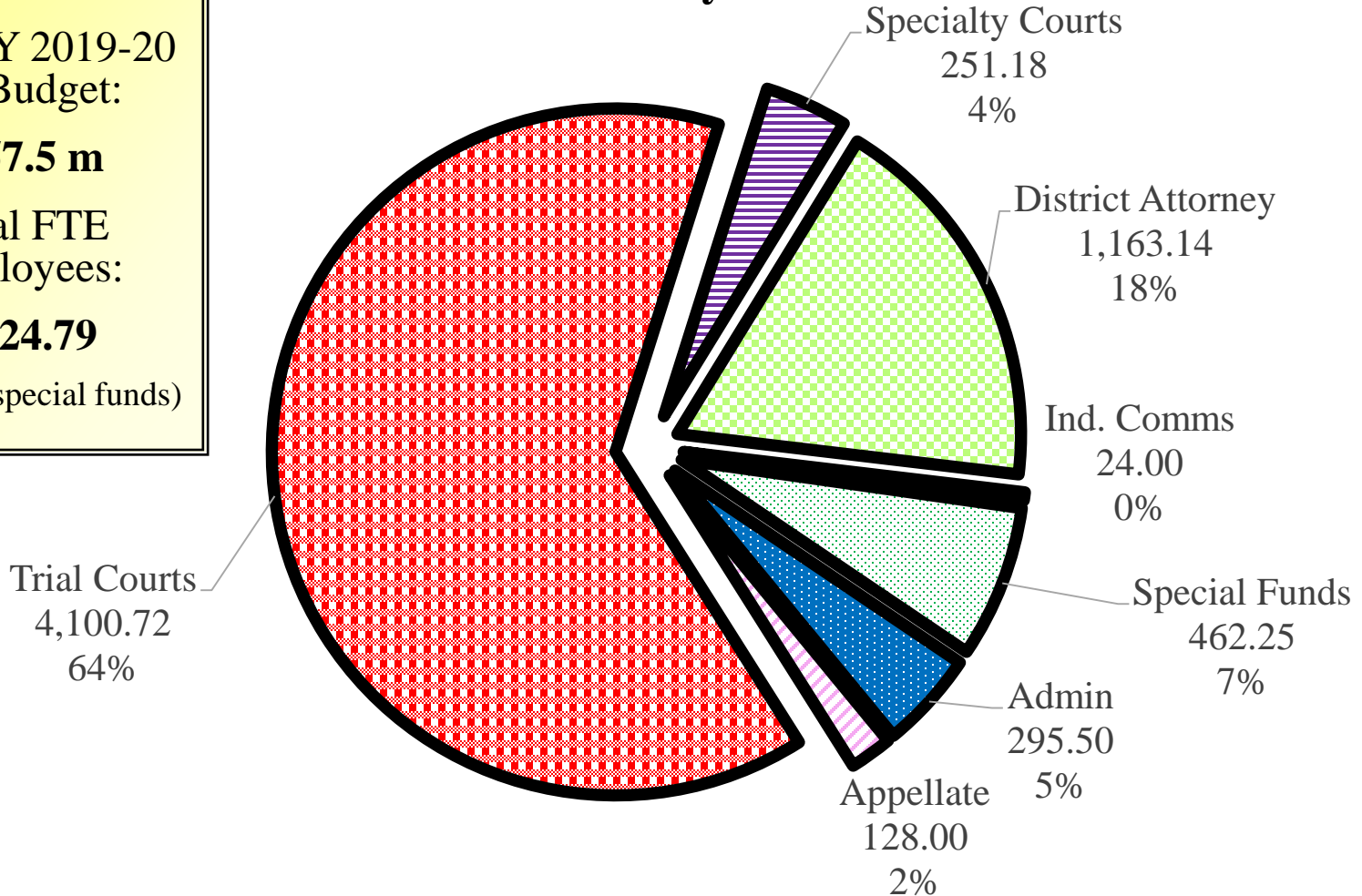


Source: Recommended Base Budget (Worksheet I) 02/16/19

FY 2019-20 AOC Base Budget

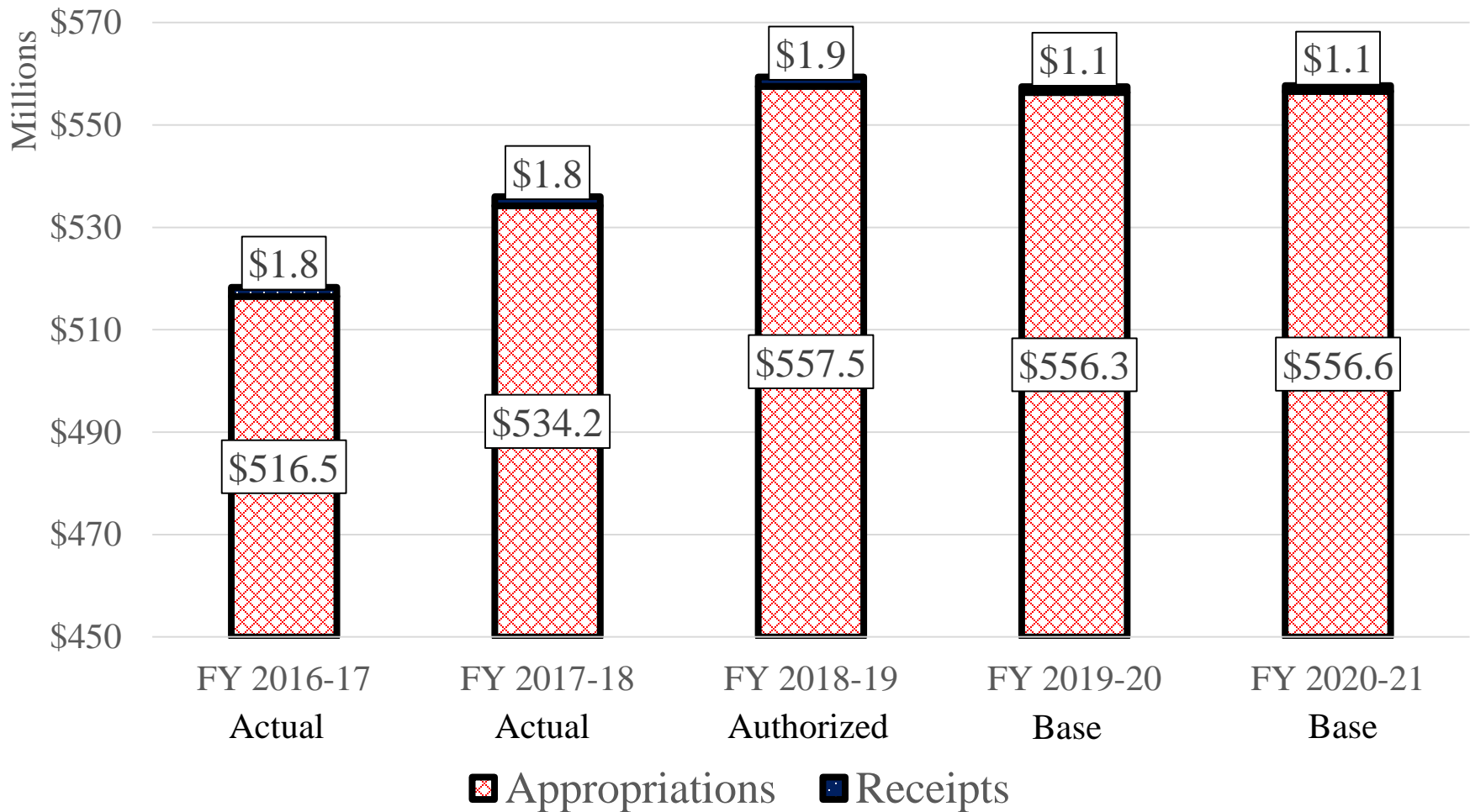
Total FY 2019-20
GF Budget:
\$557.5 m
Total FTE
Employees:
6,424.79
(includes special funds)

Total FTE by Fund



Source: Recommended Base Budget (Worksheet I) 02/16/19

AOC Budget History



Source: NCAS, Recommended Base Budget (Worksheet I) 02/16/19

AOC Recent Issues and Programs

- Judicial Redistricting
- Disbursements
- Recovery Courts
- Workload Formulas
- e-Courts Initiative

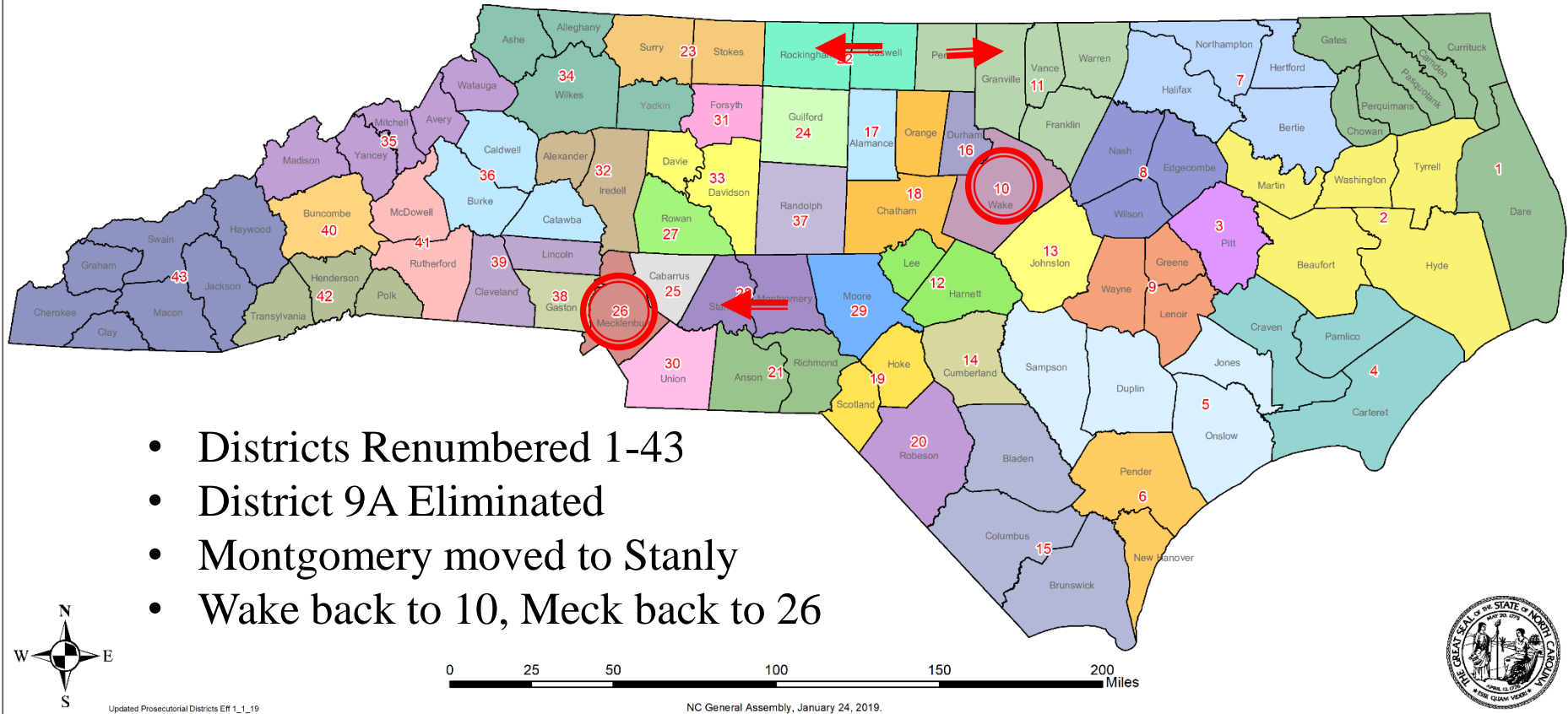
AOC

Judicial Redistricting



Prosecutorial Districts: New in 2019

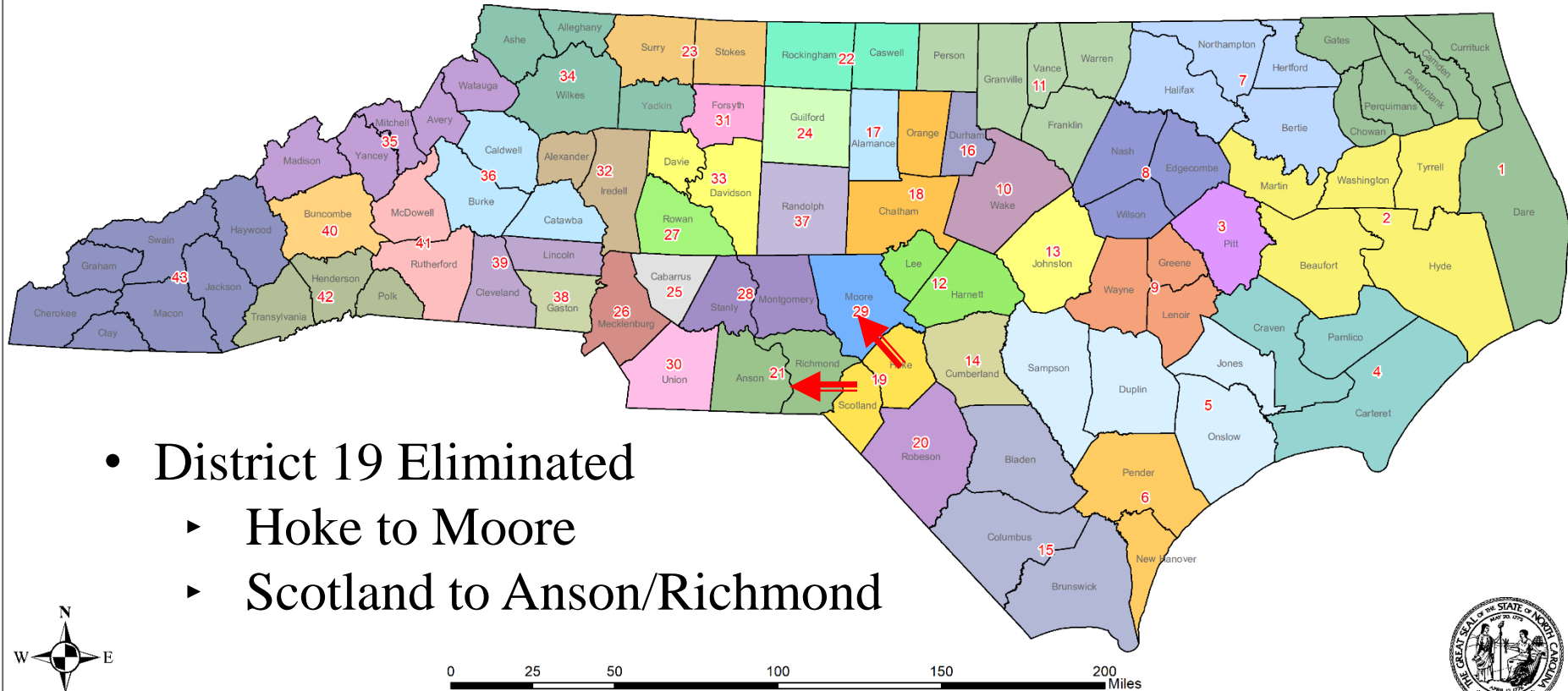
As modified in 2018 Session Laws



43 District Attorneys
43 Prosecutorial Districts

Prosecutorial Districts: New in 2021

As modified in 2018 Session Laws

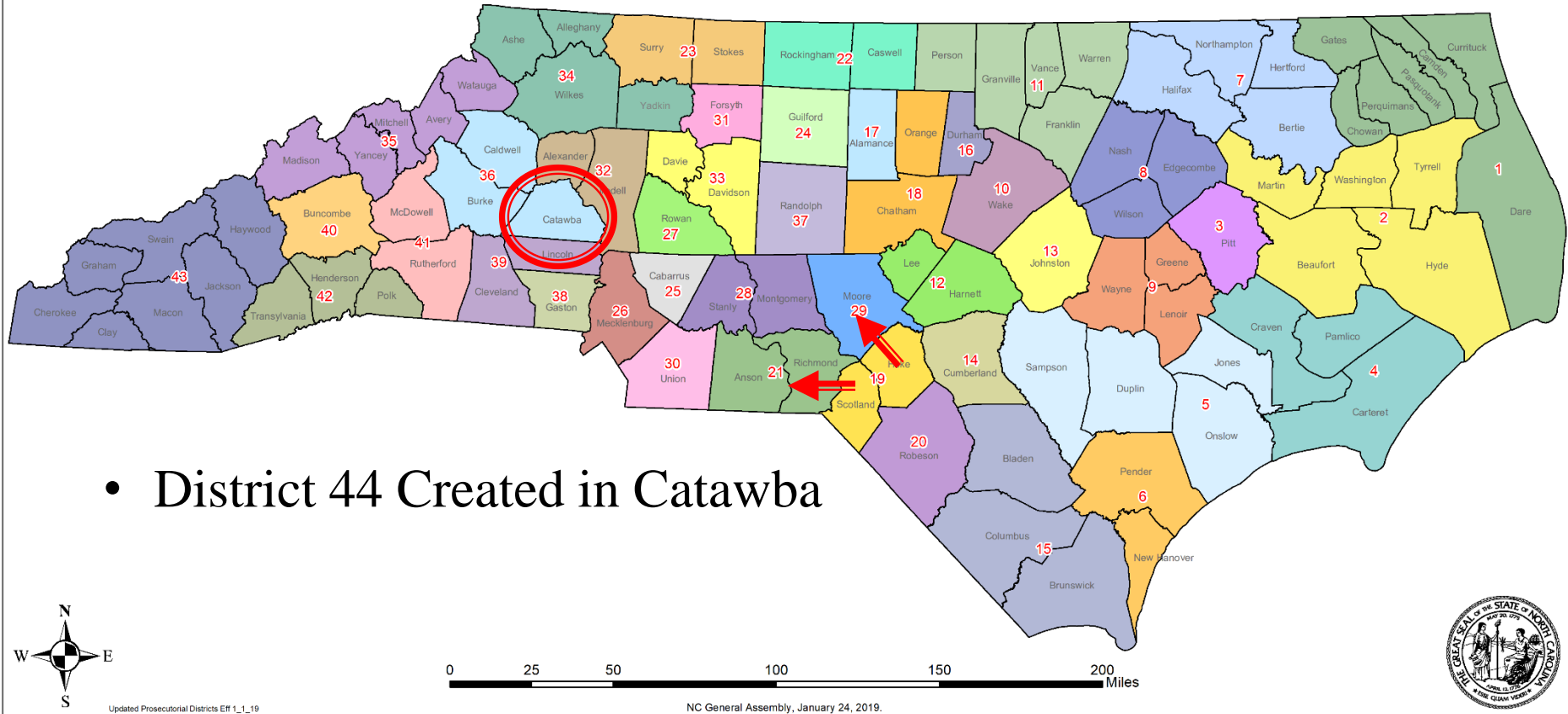


- District 19 Eliminated
 - Hoke to Moore
 - Scotland to Anson/Richmond

42 District Attorneys
42 Prosecutorial Districts

Prosecutorial Districts: New in 2023

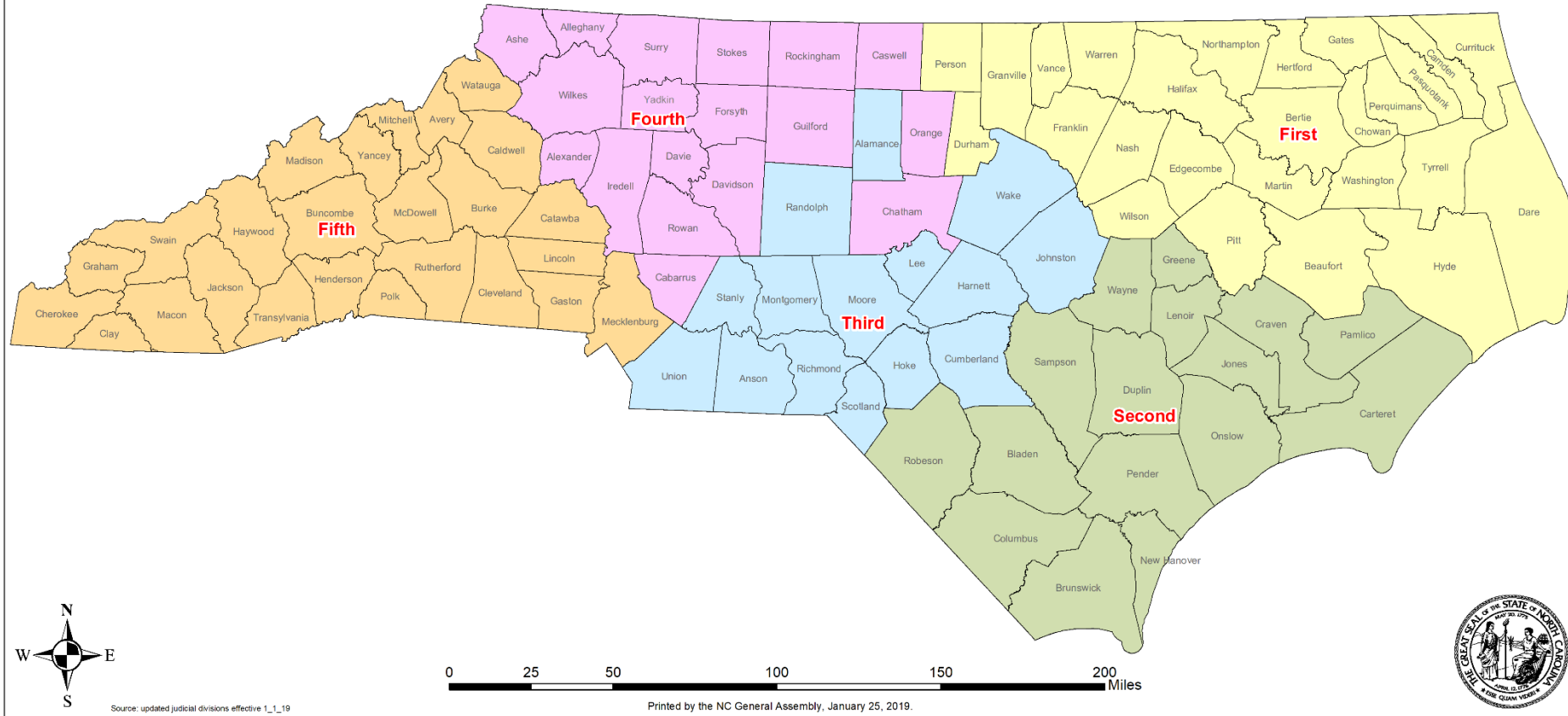
As modified in 2018 Session Laws



43 District Attorneys
43 Prosecutorial Districts

Superior Court Divisions: New in 2019

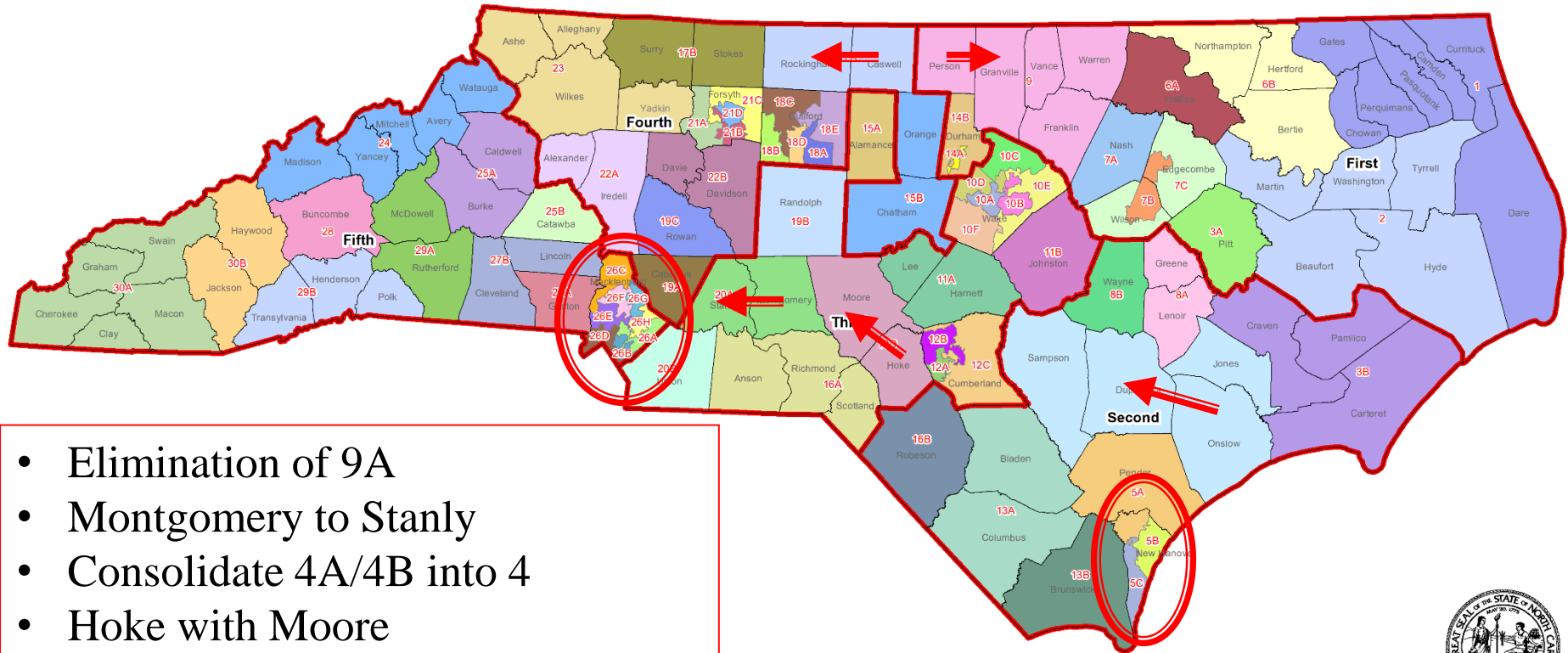
As modified in 2018 Session Laws



Superior Court Judicial Divisions Reduced from 8 to 5

Superior Court Districts: New in 2019

As modified in 2018 Session Laws



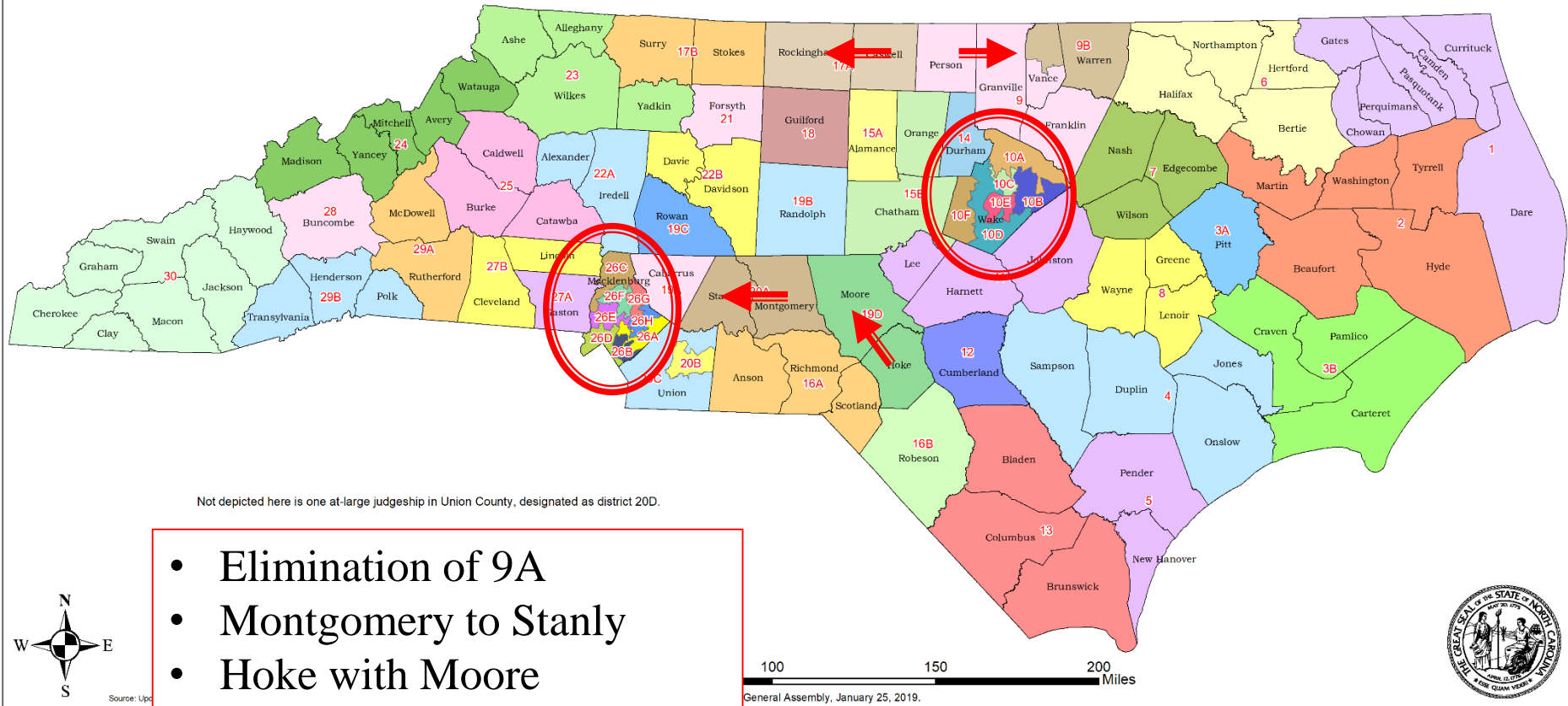
- Elimination of 9A
- Montgomery to Stanly
- Consolidate 4A/4B into 4
- Hoke with Moore
- Different electoral districts in 5
- Increased electoral districts in 26

48 Superior Court Districts
107 Superior Court Judges



District Court Districts: New in 2019

As modified in 2018 Session Laws



- Elimination of 9A
- Montgomery to Stanly
- Hoke with Moore
- New electoral districts in 10
- New electoral districts in 26

41 District Court Districts
273 District Court Judges

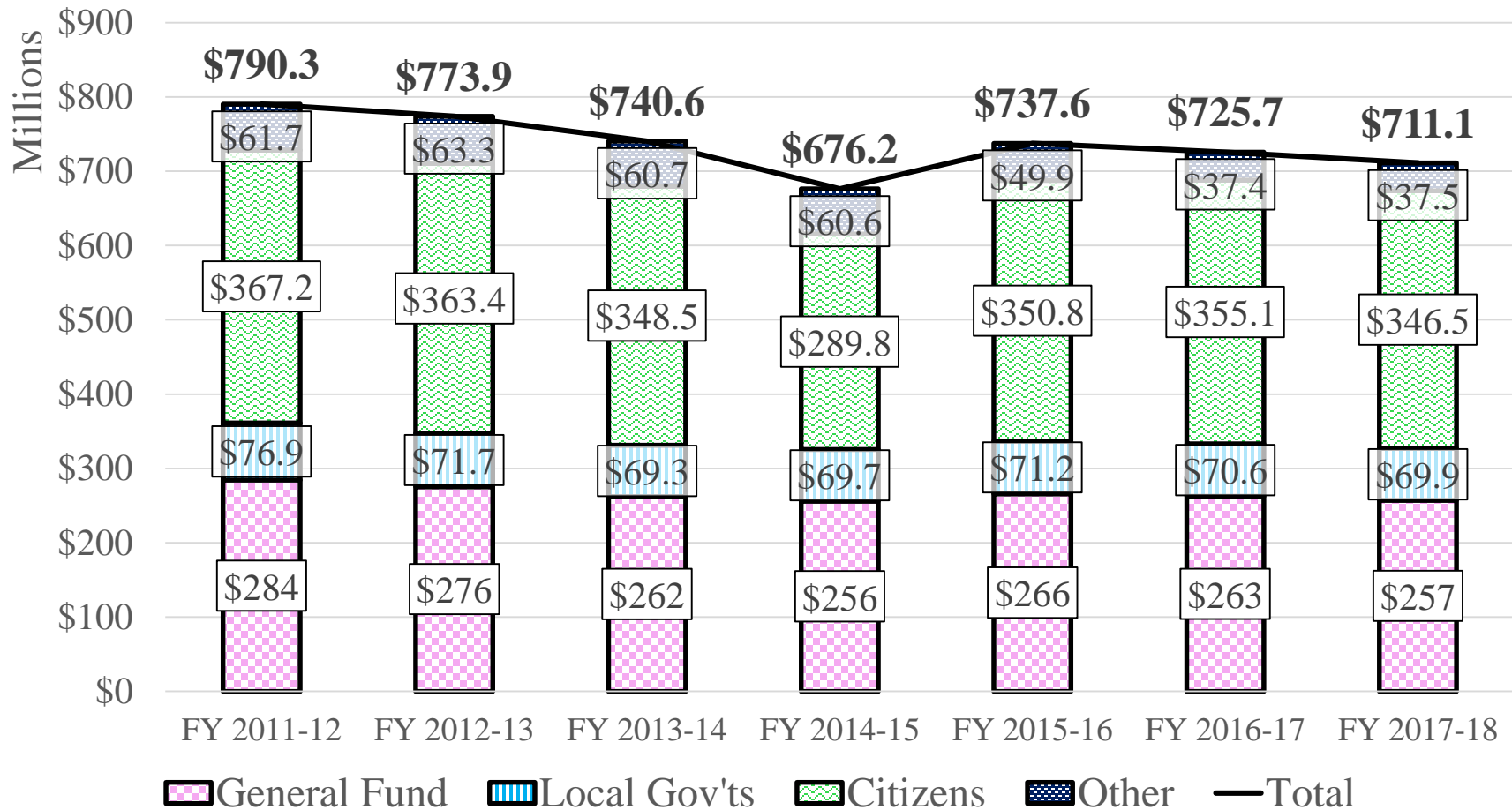
AOC Disbursements



Court Costs

- Fees: charges incurred for the use and support of the institutions of the courts
- Fines: monetary penalty imposed for conviction of a crime or infraction
- Forfeitures: money or property that a judge orders a party to hand over to the State as the result of an unlawful act
- Fines and forfeitures are disbursed to the county for the use of public schools
- Restitution: court-ordered repayment to victims and other funds disbursed to private citizens

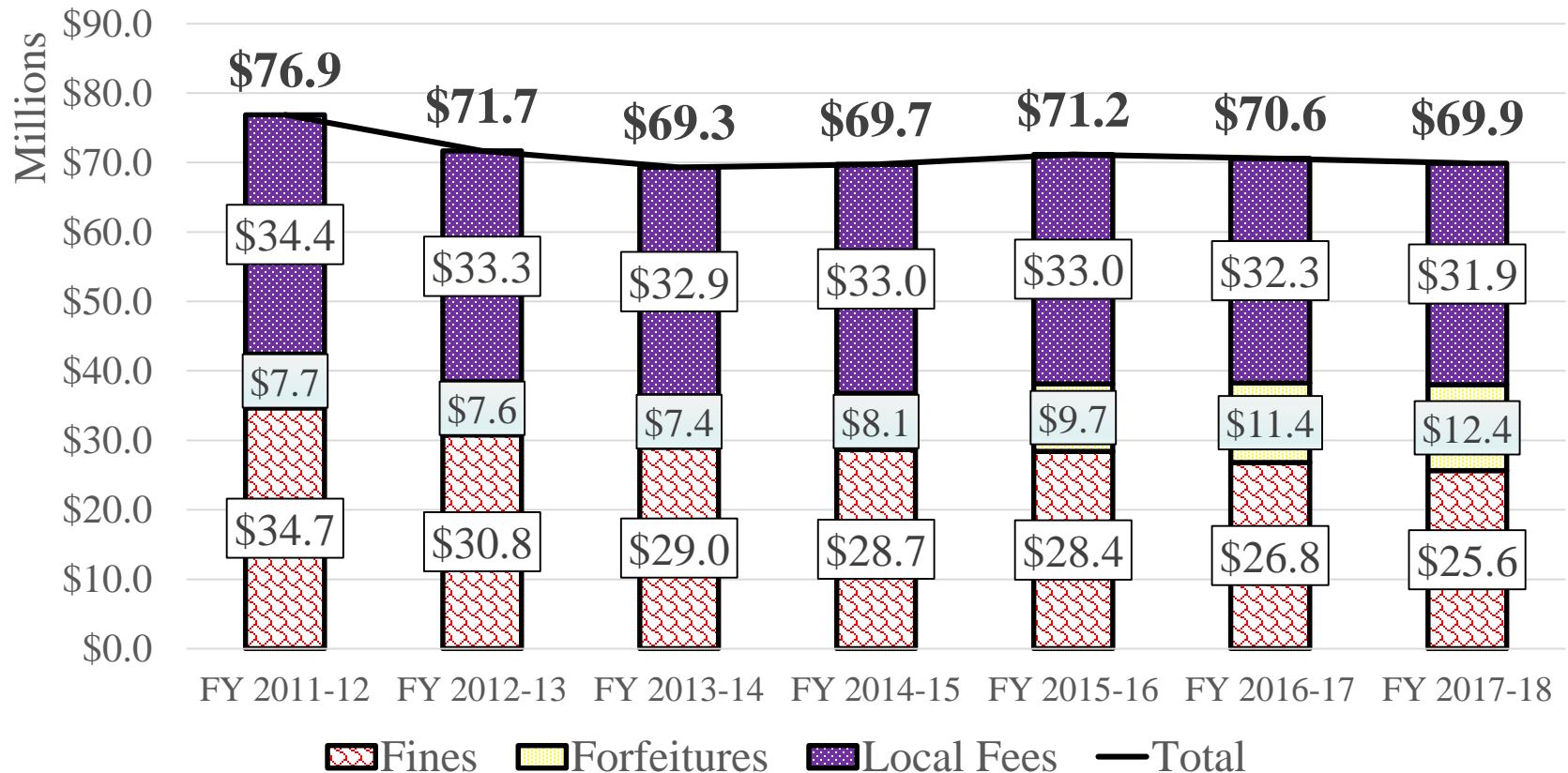
Total Court Disbursements



Source: AOC

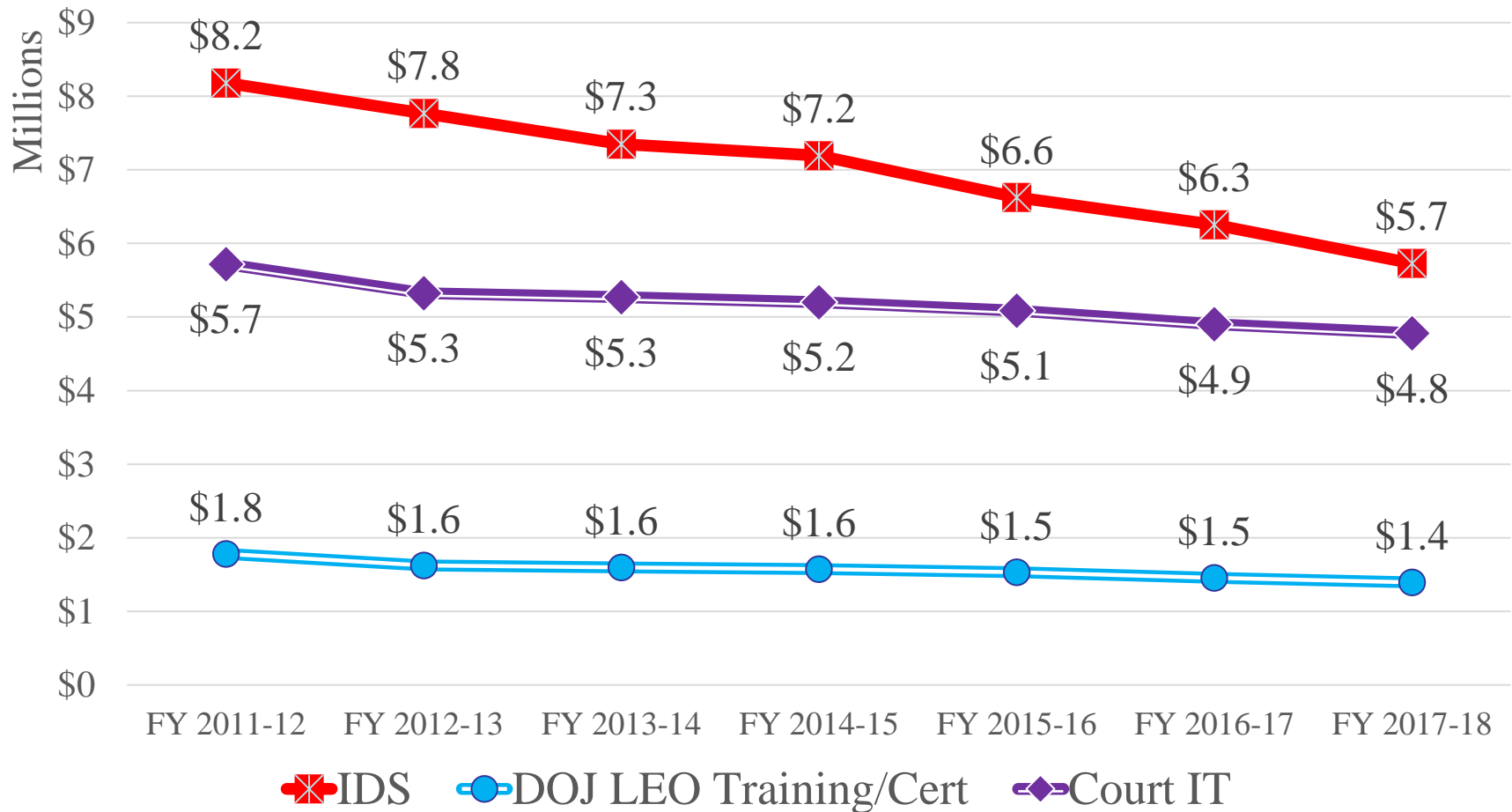
Fines, Forfeitures, Local Fees

Disbursements to Local Governments



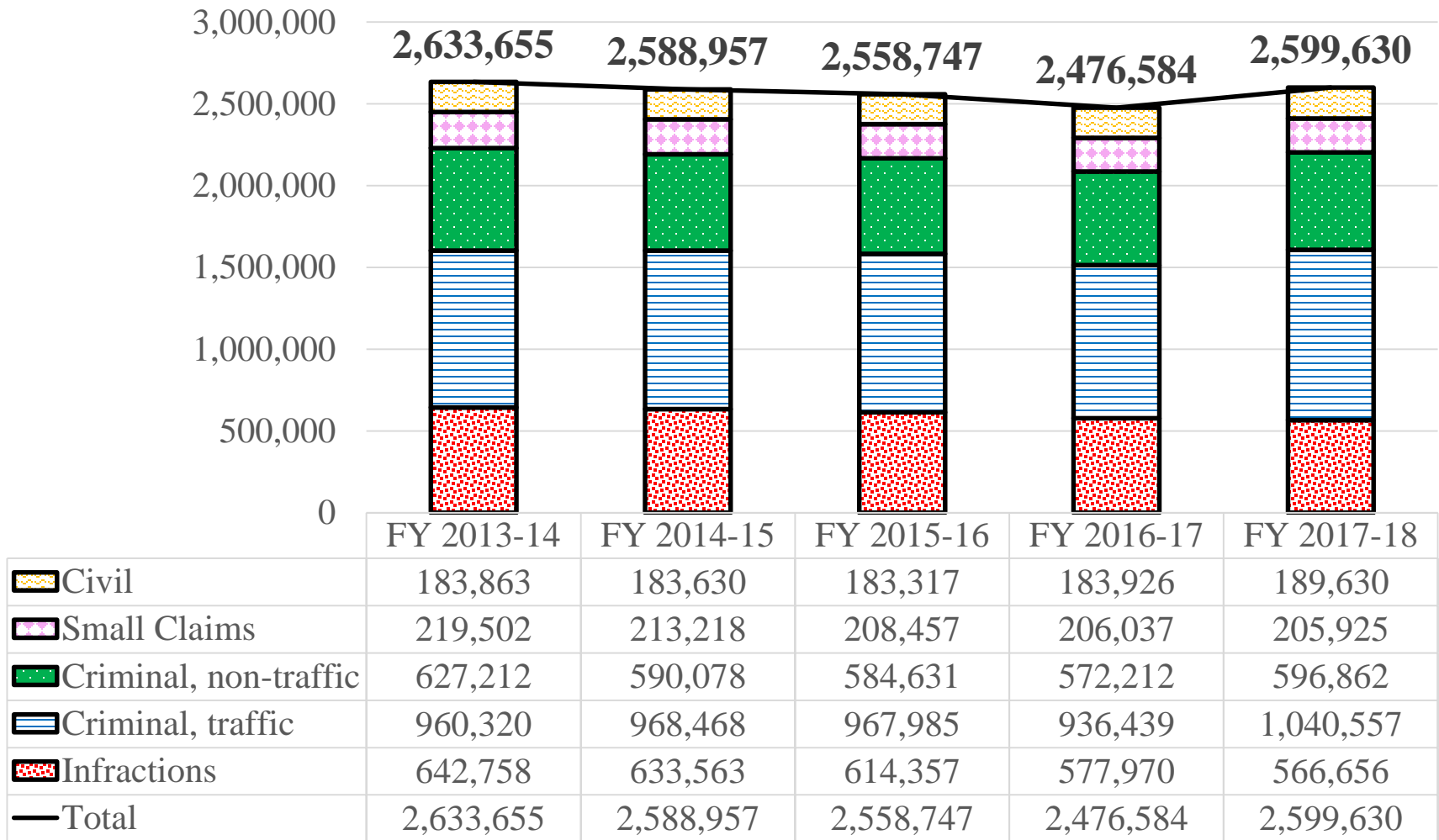
Source: AOC

Disbursements to Certain JPS Recipients



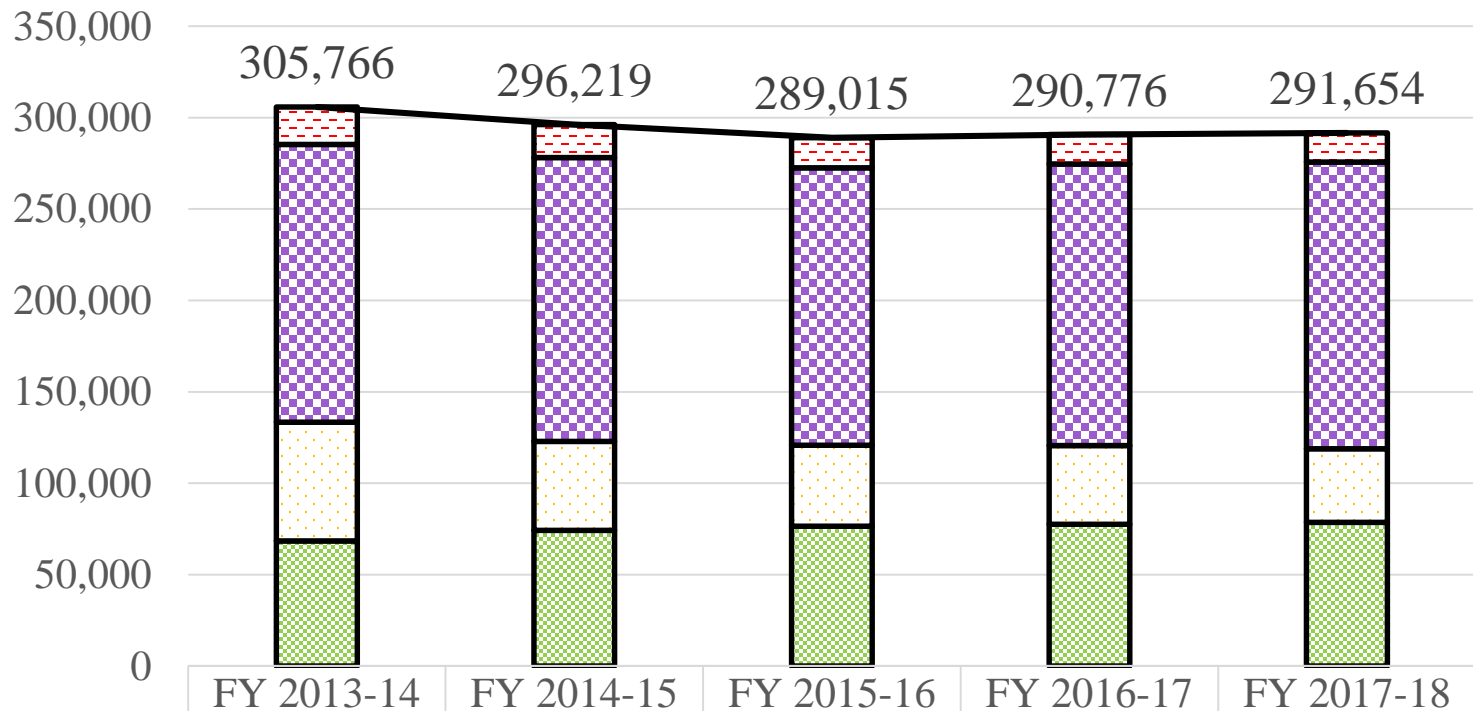
Source: AOC



District Court Dispositions



Source: AOC Annual Reports

Superior Court Dispositions



	Civil	20,424	17,956	16,514	16,095	15,990
	All Criminal	151,892	155,404	151,745	154,022	156,754
	Special Proceedings	65,024	48,638	44,289	43,102	40,394
	Estates	68,426	74,221	76,467	77,557	78,516
	Total	305,766	296,219	289,015	290,776	291,654

Source: AOC Annual Reports

Waivers

- AOC “2019 Report on Criminal Cost Waivers” (February 1, 2019)
- Required to report money status of case as:
 - Ordered: court orders monetary obligation due
 - Waived/Remitted: judge waives monetary obligation in its entirety
 - Partially Waived: reduced but not eliminated
 - Not Assessed
 - Stricken/Entered in Error
 - Civil Judgment: monetary obligation through civil rather than criminal enforcement

Source: AOC

Waivers

- School of Government analysis of Waivers Report
- “Waivers might be on the decline”
- “Civil judgments might be serving as substitute in some cases”

	2016	2017	2018
Civil Judgments	20,682	34,112	40,850
Waivers	87,006	45,882	28,036
Orders	889,714	902,900	848,375

Source: UNC School of Government NC Criminal Law Blog, February 7, 2019

AOC Recovery Courts



Recovery Courts

- Family Drug Treatment Courts
- Adult Drug Treatment Courts
- Youth Drug Treatment Courts
- DWI Courts
- Mental Health Courts
- Veterans Treatment Courts
- Tribal Court

Recovery Courts: What They Are

- Special venue of court designed to address population with particular needs
 - Drug offenders
 - DWI offenders
 - Mental Health issues
 - Veterans
 - Not Teen Court
- Requires the participation or endorsement of all relevant elected judicial officials in a district (plus the public defender)

Recovery Courts: Funding

- NCGA eliminated appropriations to DTCs in FY 2011-12
 - Currently funded through grants from counties, federal grants through the Governor's Crime Commission, or ABC
 - Some courts operating without funding
 - **There is one DTC Coordinator position that is State-funded in Brunswick County (created 1/31/2019)**
- Cost is for a coordinator position:
 - \$94,568 R for 1 FTE

Recovery Courts: DTC Locations

	Family DTC	Adult DTC	Youth DTC		Family DTC	Adult DTC	Youth DTC
Avery		X		Mecklenburg	X	X	X
Brunswick		X		Mitchell		X	
Buncombe	X	X		New Hanover		X	
Catawba		X		Orange	X	X	
Cumberland	X	X		Person		X	
Durham		X		Pitt		X	
Forsyth		X		Robeson	X		
Guilford		X	X	Wake		X	
Halifax	X			Watauga		X	
Lenoir	X			Wayne	X		
Madison		X		Yancey		X	

Source: AOC

Recovery Courts: Other Locations

	DWI Court	Mental Health Court	Veterans Treatment Court	Tribal Court
Brunswick	X	X		
Buncombe	X		X	
Cherokee				X
Cumberland	X		X	
Durham		X		
Forsyth		X	X	
Guilford		X		
Harnett			X	
Mecklenburg	X	X		
New Hanover	X			
Orange		X		
Union	X			

Source: AOC

AOC

Workload Formulas



Workload Formulas

- Late '00s:
 - NCSC creates workload formulas for most judicial personnel
 - Designed to be neutral and accurate representation of the personnel needs for each office, weighed by time and complexity of cases
 - The formula is an algorithm that stays the same
 - Results vary by year based on district/division filings

Prosecutorial Workload

- TWO DA Workload Formulas
 - One for prosecutors/attorneys in an office
 - Shows ratios for state-funded personnel alone and both state-funded and grant-funded together
 - One for DA office staff
 - Also considers legal assistant, investigator, admin staff needs for each office
 - Lays out different workload ratios for state-funding and state- plus grant-funding

Prosecutorial Workload

Top 3 Most and Least Need, FY 2017-18



District	State-funded	Grant-funded	Need	State-funded to Need Ratio	All Attys to Need Ratio
24 (Guilford)	35	0	42.7	82.0%	82.0%
38 (Gaston)	16	0	19.2	83.4%	83.4%
36 (Burke, Caldwell, Catawba)	20	0	23.5	85.0%	85.0%
30 (Union)	12	2	10.1	118.3%	138.0%
26 (Mecklenburg)	62	24	61.2	101.4%	140.6%
17 (Alamance)	13	4	11.3	115.0%	150.4%

Source: AOC

DA Office Workload

Top 3 Most and Least Need, FY 2017-18



District	State-funded Staff	Grant-funded Staff	Staff Need	State-funded to Need Ratio	All Staff to Need Ratio
38 (Gaston)	29	0	34.21	84.8%	84.8%
24 (Guilford)	61	1	72.12	84.6%	86.0%
36 (Burke, Caldwell, Catawba)	35	5	42.65	82.1%	93.8%
37 (Randolph)	21	2	16.74	125.4%	137.4%
30 (Union)	20	5	18.13	110.3%	137.9%
17 (Alamance)	21	7	19.6	107.2%	142.9%

Source: AOC

Prosecutorial FTE: Recent/Future Changes

- 2017:
 - 31 new ADAs - \$2.1m
- 2018:
 - 1 new ADA (Montgomery/Stantly)
 - 2 ADAs transferred from District 11 to District 9
- 2021:
 - 4 ADAs transferred to new Hoke/Moore
 - 3 ADAs transferred to new Anson/Richmond/Scotland
 - 1 elected DA eliminated (Scotland/Hoke)
- 2023:
 - 10 ADAs transferred to new Catawba
 - 1 new elected DA (Catawba)

Prosecutorial FTE Request: RTA

- JJAC Request (RTA)
 - 8 ADAs & 7 DA Legal Assistants: ~\$1.5m
- JJAC Request (“existing deficiencies”)
 - 45 ADAs & 60.5 DA Legal Assistants: ~\$10.1m
- Gov Recommendation
 - 4 ADAs & 3 DA Legal Assistants: ~\$488k

Source: JJAC Report, Governor’s Recommended Budget

Clerk of Court Workload

Top 3 Most and Least Need, FY 2017-18



District	State-funded	Grant-funded	Total Authorized Positions	Need	Staff to Need Ratio
Wake	182.5	1.5	184	197.38	93.2%
Macon	10	0	10	10.38	96.4%
Mecklenburg	208.1	10.5	218.6	224.92	97.2%
Scotland	15	0	15	12.56	119.5%
Caswell	7.75	0	7.75	6.31	122.9%
Hertford	9.75	0	9.75	7.75	125.7%

Source: AOC

Clerk FTE: Recent Changes & RTA Request

- 2017: 96 new deputy clerks
(fully phased in by FY 2018-19) - \$4.7m

RTA:

- JJAC Request (RTA):
 - 6 deputy clerks: ~\$334k
- JJAC Request (“existing deficiencies”):
 - 52 deputy and assistant clerks: ~\$2.2m
- Gov Recommendation:
 - 3 deputy clerks: ~\$111k

Source: JJAC Report, Governor’s Recommended Budget

Magistrate Workload

Top 3 Most and Least Need, FY 2017-18



District	Total Authorized Positions	Total Need (Min. of 3)	Staff to Need Ratio
Mecklenburg	33.5	51.94	64%
Wake	27	41.61	65%
New Hanover	13	16.57	78%
Scotland	5	3.4	147%
Rockingham	7	4.69	149%
Wilkes	6	3.52	171%

Source: AOC

District Court Judge Workload

Top 3 Most and Least Need, FY 2017-18



District	Judges Authorized	Judges Needed	Ratio
29A (McDowell, Rutherford)	3	4.11	72.9%
4 (Duplin, Jones, Sampson, Onslow)	8	9.86	81.2%
19A (Cabarrus)	5	6.1	82.0%
24 (Avery, Madison, Mitchell, Watauga, Yancey)	4	3.12	128.0%
1 (Camden, Chowan, Currituck, Dare, Gates, Pasquotank, Perquimans)	5	3.85	129.8%
14 (Durham)	7	5.03	139.1%

Source: AOC

District Court FTE: Recent/Future Changes

- 2019:
 - 1 new DC judge in Montgomery/Stanly
 - 1 new DC judge in Wake
- 2021:
 - 1 new DC judge in Wake

District Court FTE Request: RTA

- JJAC Request (RTA)
 - 5 DC judges: ~\$1.0m
- JJAC Request (“existing deficiencies”)
 - 10 DC judges: ~\$2.1m
- Gov Recommendation
 - 3 DC judges: ~\$626k

Source: JJAC Report, Governor’s Recommended Budget

Superior Court Judge Workload

Division	Resident Judges	Judge Needed	Special Judge Need
1	16	17.4	1.4
2	14	15	1
3	23	22.5	No Need
4	21	19.1	No Need
5	23	25.6	2.6

Source: AOC

Superior Court FTE: Recent/Future Changes

- 2017:
 - Eliminates 2 special judgeships
- 2018:
 - Eliminates 1 SC judge in District 17B (Stokes/Surry)
- 2019:
 - 1 new SC judge in District 19D (Hoke/Moore)
 - 1 new SC judge in District 10 (Wake)
- 2021:
 - 1 new SC judge in District 20A (Montgomery/Stanolly)
 - 1 new SC judge in District 26 (Mecklenburg)

“Existing Deficiencies”

One cause is 2011 VRIF/RIF:

- Voluntary Reduction In Force
- AOC offered VRIF/RIF to meet 5% reduction request
- 194.5 FTE took VRIF, including:
 - 100 clerks
 - 16 GAL
 - 39 magistrates
- Another 125 FTE were RIFed
 - **All DTC staff**
 - 18 magistrates
 - 55 DA staff (victim-witness legal assistants, mostly)
- 2013-2019: NCGA adds 172.5 FTE to AOC

Source: AOC

VRIF/RTR: February 28, 2019

Feb 2019: AOC offers another VRIF/RTR

- “Reduction Through Reorganization”
- 42 FTE take the VRIF – \$2,037,430 payout
- Includes AOC senior Admin staff:
 - Chief HR Officer
 - Exec Assistant to the AOC Director
 - Assistant Legal Counsel
 - Legislative Liaison
- 21 from Technology Services
 - All enhancements to computer systems are suspended
 - 4 from special funds, so no GF benefit

Source: AOC

VRIF/RTR: FY 2018-19

- Total Salaries: \$3,678,206
- Total Severance: \$2,037,430
- Lapsed Salary Generated: \$1,226,069
(4 months of FY 2018-19 remaining)

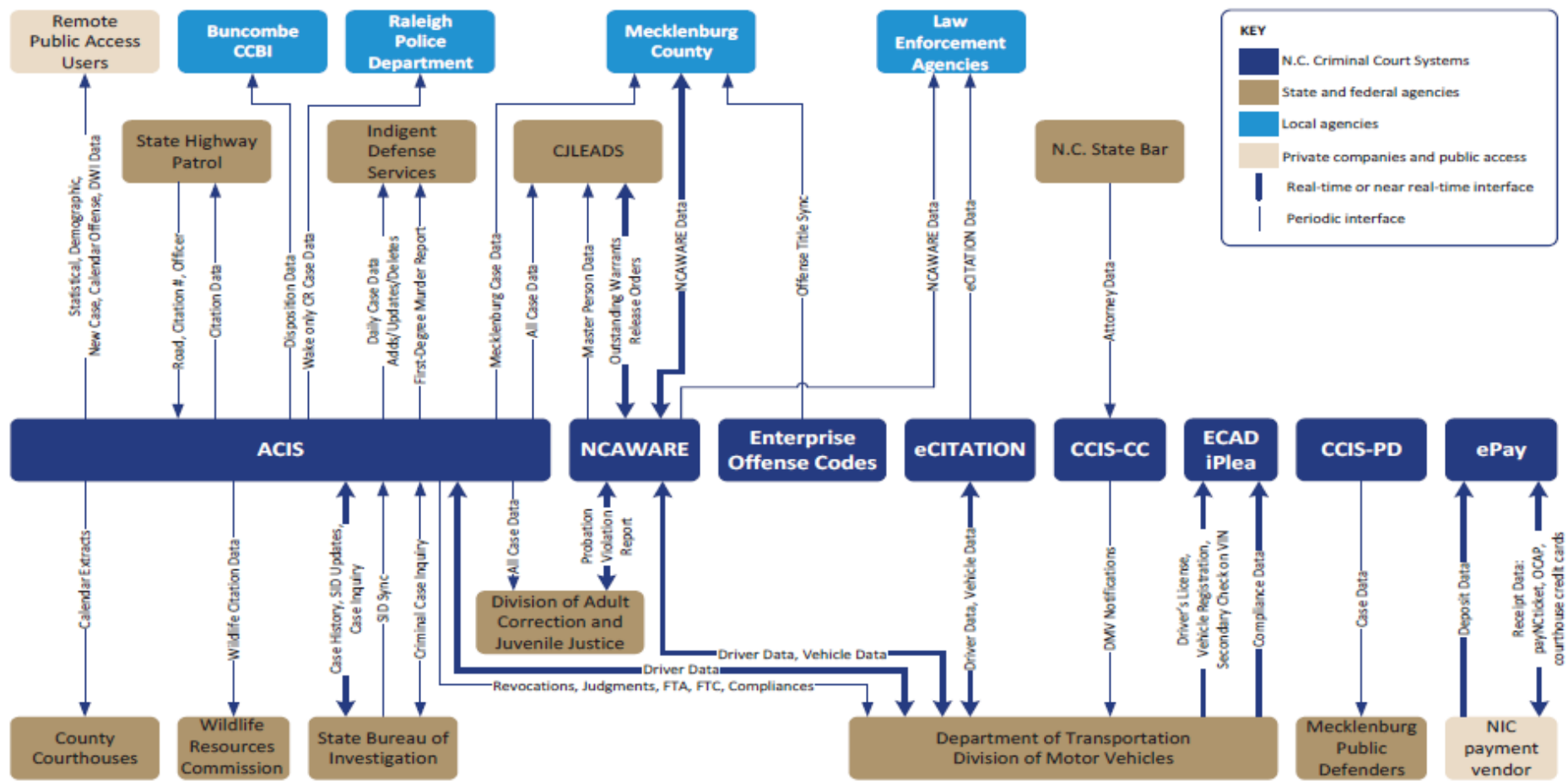
AOC e-Courts



e-Courts Initiative

- AOC tech improving since 2000s, but slowly
 - Much of this decade has involved improvements to ACIS (Automated Criminal/Infractions System)
 - ACIS went live in 1982
 - The plan was to slowly replace ACIS with the new CCIS (Criminal Court Information System)
 - However, ACIS remains the underlying architecture for the court system

AOC IT Interfaces



Source: AOC

ACIS

AOC Mainframe - RUMBA Mainframe Display

File Edit View Connection Transfer Options Tools Help

ADDITIONAL SPECIAL CONDITIONS EXIST. PRESS PF4 FOR DETAILS

910 WAKE ICA INQUIRY 01 16CR 136552 FILM:
 PENDING OFA: 050118 R S DOB/AGE CR FILING DATE: 101216
 WARRANT W M DL#:
 JONES, JACK CIT#: TRIAL DATE: 090117
 123 CSLR: CSLRC: AM 001A
 RALEIGH NC DEF ATTY: AGUIRRE, BRIDGETT TYP: A VRA:
 CHG/ARRN OFFN: I POSS OPN CNT/CONS ALC PSG AREA 20-138.7(A1)
 COMPLAINANT: JACKSON OTH ISSUED: 101016 SERVED: 101016
 OFFN DATE: 101016 ARRN DATE: MOTIONS DATE: DISP DATE:
 CONT. D: 00 S: 00 C: 00 NR: 00 INT?: FRM: RSONCO: GANG REL: DV CV: N

PLEA VER MOD FINE COSTS WCC REST JUDGE PAID TO-BE-PAID
 \$ \$ \$

CONV OFFN: CAB:
 SENT LEN: - SENT TYPE: CONS F/JGMT:
 PROB: WITHDRAWN: APPEALED TO SUPERIOR:
 AREA CD: ACCD: HWY: V LIC: TRANS TO SUPERIOR:
 CDL: N CMV: N HAZ: N TRP/DIST: V ST: V TYP: APPELLATE:

ARREST DATE: CHECK DIGIT: SID: LID:
 NEXT#: PF2 - NAME INQUIRY ADDL CHARGES: Y

Ready Running SSL APL NUMFLD AOC09689 OVR CAP NUM W 1,2 3:21:16 PM

Source: AOC

CCIS-CC (Clerk Component)

https://cisptf.nccourts.org/ - NCCIS: CCIS-CC - Multiple Entry Continuances - Internet Explorer

NORTH CAROLINA COURT INFORMATION SYSTEM 3:04 PM on Friday, 8th March

CCIS-CC Home > Multiple Entry Menu > Continuances

WAKE County

To pre-load cases, enter required fields (*) and select Search.

*Court Type: ☒ District ☐ Superior *Case Type: ☐ Criminal ☐ Infraction ☒ Both

*Court Date: Today *Court Session: *Courtroom:

Calendar # Sort By: Name Select Defendant: Search

Default Values for Selected Rows Below (Optional)

Next Court Date: 03/11/2019 Next Court Session: AM Next Courtroom: 001A

Continued For: Court AM PM NC

Defense Attorney: A ANSLEY, RONNIE

Prosecuting Attorney Ini/Name:

Update Refresh Clear Clear Rows Cancel

Sel	File Number	Defendant Name	Subp	Notice	Next Court Date	Next Session	Next Court Room	Cont For	Type	Defense Attorney Name	Prosecuting Attorney Name	File Number	Service Date
<input checked="" type="checkbox"/>	16CRS136548	JONES, JACK	<input type="checkbox"/>	<input type="checkbox"/>	03/11/2019	AM	001A	C	A	ALLEN, BRITTON, HELMS	AKM	MCCOOL, AMILY, K	16CRS136548 05/01/2018 Today
• CC0001C4: Case is ineligible for continuance.													
<input checked="" type="checkbox"/>	16CR148500	JONES, JACK	<input type="checkbox"/>	<input type="checkbox"/>	03/11/2019	AM	001A	C	A	ANSLEY, RONNIE			16CR148500 10/10/2016 Today
<input checked="" type="checkbox"/>	16CRS149264	JONES, JACK	<input type="checkbox"/>	<input type="checkbox"/>	03/11/2019	AM	001A	C	A	ANDERSON, PHILLIP, KEITH	AZS	SMITH, A, ZACHARY, III	16CRS149264 01/01/2016 Today
<input checked="" type="checkbox"/>	17CR123111	JONES, JACK	<input type="checkbox"/>	<input type="checkbox"/>	03/11/2019	AM	001A	C	A	BABB, RUSSELL	AGF	FITZHUGH, AMY, GUY	17CR123111 01/01/2017 Today
<input checked="" type="checkbox"/>	17CR123447	JONES, JACK	<input type="checkbox"/>	<input type="checkbox"/>	03/11/2019	AM	001A	C	A	ALLEN, BRITTON, HELMS	AGF	FITZHUGH, AMY, GUY	17CR123447 01/01/2017 Today
<input checked="" type="checkbox"/>	17CR125365	JONES, JACK	<input type="checkbox"/>	<input type="checkbox"/>	03/11/2019	AM	001A	C	A	ANSLEY, RONNIE			17CR125365 01/01/2018 Today
<input checked="" type="checkbox"/>	17CR145022	JONES, JACK	<input type="checkbox"/>	<input type="checkbox"/>	03/11/2019	AM	001A	C	A	ANSLEY, RONNIE			17CR145022 07/01/2017 Today
<input checked="" type="checkbox"/>	17CRS147247	JONES, JACK	<input type="checkbox"/>	<input type="checkbox"/>	03/11/2019	AM	001A	C	A	ALLEN, BRITTON, HELMS	ARM	MARSHALL, ANDREW, ROSS	17CRS147247 06/30/2017 Today
<input checked="" type="checkbox"/>	17CR155011	JONES, JACK	<input type="checkbox"/>	<input type="checkbox"/>	03/11/2019	AM	001A	C	A	ALLEN, BRITTON, HELMS	AMD	DAVIS, ANNA, MUIREAD	17CR155011 07/01/2017 Today
<input checked="" type="checkbox"/>	18CR111555	JONES, JACK	<input type="checkbox"/>	<input type="checkbox"/>	03/11/2019	AM	001A	C	A	ANSLEY, RONNIE			18CR111555 12/01/2018 Today
<input checked="" type="checkbox"/>	18CR123789	JONES, JACK	<input type="checkbox"/>	<input type="checkbox"/>	03/11/2019	AM	001A	C	A	ANSLEY, RONNIE			18CR123789 11/01/2018 Today
<input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>									Today

Source: AOC

CCIS-CC (Clerk Component)

https://cisptlf.nccourts.org/?navigationType=SINGLE_CASE_DISPOSITION&tab=disposition - NC...

Please complete the following form.

Tools Fill & Sign Comment

This file includes fillable form fields.
You can print the completed form and save it to your device or Acrobat.com.

Highlight Existing Fields

(TYPE OR PRINT IN BLACK INK)

STATE OF NORTH CAROLINA

WAKE County

File No. 15CR 124999

Additional File Nos.

In The General Court Of Justice
☒ District ☐ Superior Court Division

Name Of Defendant, Petitioner, Respondent
JONES JACK

Street Address Of Defendant, Petitioner, Respondent
123 ABC LANE

RALEIGH NC

Permanent Mailing Address Of Defendant, Petitioner, Respondent (if different than Above)

Telephone Number Of Defendant, Petitioner, Respondent

☐ Check here if defendant is in jail

Full Social Security No. ☐ Has No Social Security No.

Date Of Offense 01/01/2016 Most Serious Class Of Offense

Offense(s) (List Offense(s) Only If File No. Has Not Been Assigned)

**ORDER OF ASSIGNMENT
OR
DENIAL OF COUNSEL**

G.S. 7A-143(1), 7A-202(15), 7A-450, 7A-451(a), 15A-1340.23(d)

INSTRUCTIONS: The Court should complete Part I. or Part II. of this form. Do not use this form for first-degree murder cases or murder cases where the degree is undesignated, except for cases where the defendant was under 18 years of age at the time of the offense, or for capital post-conviction cases or appeals to the Court of Appeals or Supreme Court. For adult first-degree murder cases or murder cases where the degree is undesignated at the trial level, the Office of Indigent Defense Services will use form AOC-CR-624. For capital post-conviction cases, the Office of Indigent Defense Services will use form AOC-CR-625. For appellate cases, the Court will use form AOC-CR-360.

I. ASSIGNMENT OF COUNSEL

From the petition heard in this matter, the affidavit made by the applicant named above, and the inquiry made by the Court, which is documented in the record, it is determined that the applicant is not financially able to provide the necessary expenses of legal representation, and (check one):

☐ 1. is charged with a felony, a misdemeanor other than a Class 3, or a Class 3 misdemeanor that was committed before December 1, 2013, or is a petitioner or respondent in a proceeding or action listed in G.S. 7A-451(a); it is ORDERED that the applicant is indigent and is entitled to the services of counsel as contemplated by law, and that the attorney named below or the public defender in this judicial district shall provide representation.

☐ 2. is charged with a Class 3 misdemeanor that was committed on or after December 1, 2013, and (check one):

☐ a. the Court has found that the defendant has more than three prior convictions; it is ORDERED that the applicant is indigent and is entitled to the services of counsel as contemplated by law.

☐ b. the Court has not found at this time that the defendant has more than three prior convictions, the defendant is in custody, the Court does not intend at this appearance to modify the defendant's conditions of release to allow the defendant to be released pending trial without posting a secured bond, and the defendant has a constitutional right to meaningful access to the courts; it is ORDERED that the applicant is indigent and is entitled to the services of counsel as contemplated by law, and that the attorney named below or the public defender in this judicial district shall provide representation that is limited pursuant to G.S. 15A-141(3) and 15A-143 to the time period of the applicant's pretrial confinement on the Class 3 misdemeanor charge.

Click Cancel Edit Working Submit for Judge's Signature Print

FIELDS - This CCIS CC Session will time out in 119 minutes.










Source: AOC

eCourts Initiative

- NC Commission on the Administration of Law and Justice (NCCALJ) Technology Report
 - Met from 2015 to final report in 2017
- Recommended new IT system to integrate:
 - Governance
 - Metrics
 - Reporting/analytics
 - Information management
 - Case management
 - e-Filing
 - Financial management
 - Electronic public access
 - Judicial workbench

Source: NCCALJ

eCourts Initiative

Strategic Initiative		Implementation Complexity	Anticipated Benefits
A	Management & Governance	 Moderate	★ ★ ★ High
B	Baseline Metrics	 Low	★ ★ ★ High
C	Reporting & Analytics	 Moderate	★ ★ ★ High
D	Enterprise Information Management System (EIMS)	 Moderate	★ ★ ★ High
E	e-Filing	 Low	★ ★ ★ High
F	Integrated Case Management System (ICMS)	 High	★ ★ ★ High
G	Financial Management System (FMS)	 Moderate	★ ★ Moderate
H	Electronic Public Access	 Moderate	★ ★ ★ High
I	Judicial Workbench	 Low	★ ★ Moderate

Source: NCCALJ

eCourts Initiative

Initiative Budget and Timeline Matrix (\$)											
Strategic Initiative		Year 0 FY2017	Year 1 FY2018	Year 2 FY2019	Year 3 FY2020	Year 4 FY2021	Year 5 FY2022	Year 6 FY2023	Base Total	Budget Target	Total with Risk Adjustment
Initiatives Starting in Year Zero											
A	Management & Governance	\$438,264	\$918,926	\$870,202	\$870,681	\$865,749	\$223,149	\$223,149	\$4,410,120	\$4,919,621	\$5,429,123
B	Baseline Metrics	\$70,540	\$43,647	\$29,098	\$29,098	\$14,549	\$14,549	\$14,549	\$216,030	234,612	\$253,194
C	Reporting & Analytics	\$411,708	\$1,025,902	\$697,750	\$334,048	\$250,492	\$97,200	\$97,200	\$2,914,300	\$3,218,786	\$3,523,272
D	EIMS	\$2,312,180	\$6,613,359	\$6,951,675	\$5,573,191	\$1,968,436	\$1,357,956	\$947,856	\$25,724,654	\$28,163,407	\$30,602,161
E	e-filing	\$793,500	\$2,210,996	\$2,165,354	\$1,563,169	\$1,135,042	\$360,892	\$360,892	\$8,589,845	\$9,381,414	\$10,172,983
F(a)	ICMS (build)	\$300,141	\$3,704,495	\$9,872,789	\$11,787,013	\$12,446,525	\$6,274,836	\$2,052,568	\$46,438,366	\$52,098,002	\$57,757,639
F(b)	ICMS (buy)	\$239,241	\$296,955	\$6,450,347	\$9,889,899	\$11,510,353	\$8,130,298	\$2,239,833	\$38,756,926	\$43,233,506	\$47,710,086
G	FMS	\$40,800	\$644,150	\$911,150	\$0	\$0	\$0	\$0	\$1,596,100	\$1,714,312	\$1,832,524
H	Electronic Public Access	\$30,030	\$462,877	\$26,899	\$450,627	\$413,377	\$225,477	\$143,425	\$1,752,710	\$1,925,171	\$2,097,632
Initiatives Starting in Year One											
I	Judicial Workbench	\$0	\$135,222	\$84,018	\$63,974	\$39,493	\$18,605	\$10,444	\$351,755	\$388,029	\$424,303
		FY2017	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	Base Total	Budget Total	With Risk Adjustment
Total Including F(a) ICMS-Build	Capital	\$4,397,163	\$15,138,055	\$21,608,935	\$17,687,733	\$10,704,523	\$4,929,496	\$4,848	\$75,092,270	\$83,213,780	\$91,335,290
	Operational	\$0	\$0	\$0	\$2,984,068	\$6,429,140	\$3,643,168	\$3,845,235	\$16,901,611	\$18,733,073	\$20,564,536
Total Including F(b) ICMS-Buy	Capital	\$4,336,263	\$12,352,033	\$18,186,493	\$15,790,618	\$10,379,911	\$5,492,807	\$4,848	\$66,542,973	\$73,485,230	\$80,427,488
	Operational	\$0	\$0	\$0	\$2,984,068	\$5,817,579	\$4,935,319	\$4,032,501	\$17,769,468	\$19,664,625	\$21,559,783

Source: NCCALJ

eCourts Initiative

- Six-year plan (FY 2016-17 to FY 2022-23)
- Assuming buy rather than build:
 - Total capital costs of \$73.5m
 - Total ongoing operational costs of \$19.7m
 - RFP Issued, still under consideration (Mar 2019)
- AOC Tech Special Fund 22006:
 - Ongoing Receipts and Expenditures of \$16.1m
 - Balance in July 2018: \$18.1m

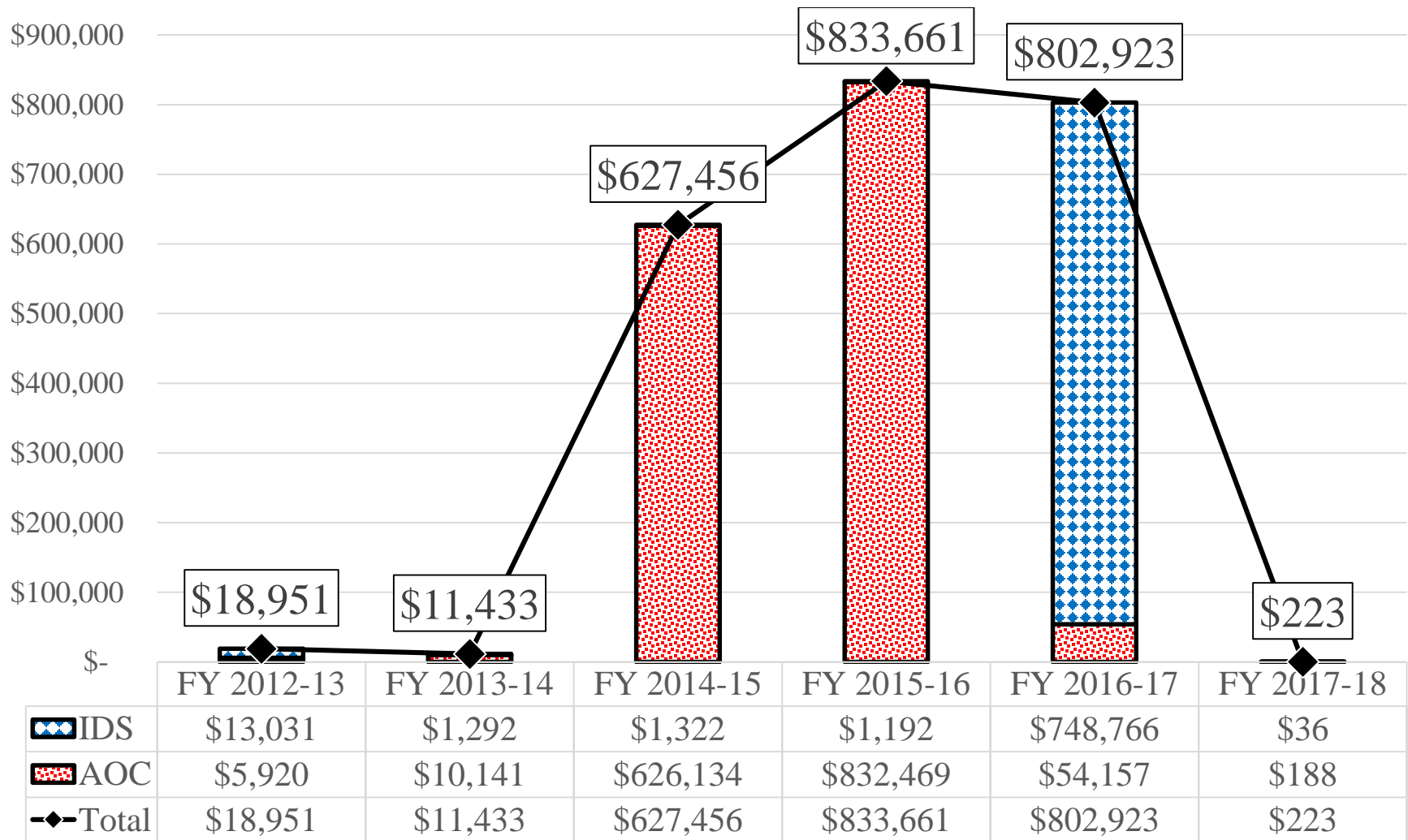
Source: NCCALJ

e-Courts Initiative

2018 Appropriations Act:

- \$1.5m NR for Integrated IT System
- AOC can keep up to 3% of both Judicial Branch agencies that would otherwise revert back to GF (special provision)
 - Intent to build funds over time
 - Issue: Neither agency has history of reversions

Judicial Branch Reversions History



Source: OSBM Reversion Projections, 03/06/2019

e-Courts Issues

- How does NCGA/AOC fund this initiative?
 - Current funding streams are not enough
- How long does the initiative take?
- Will it replace ACIS?
- Are all enhancements to ACIS/CCIS on hold until this project is finished?



QUESTIONS?

